

Application No.: 09/884,303  
Response to Office Action of November 02, 2006  
Attorney Docket: GLADS-001A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |                       |   |                  |              |
|-------------|-----------------------|---|------------------|--------------|
| Applicants: | Garry D. Gladstone    | ) | Confirmation No. | 4813         |
|             |                       | ) |                  |              |
| Serial No.: | 09/884,303            | ) | Art Unit:        | 3694         |
|             |                       | ) |                  |              |
| Filed:      | June 19, 2001         | ) | Examiner:        | Ella Colbert |
|             |                       | ) |                  |              |
| For:        | METHOD AND SYSTEM FOR | ) |                  |              |
|             | AUTOMATED TRADING OF  | ) |                  |              |
|             | FINANCIAL INSTRUMENTS | ) |                  |              |

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**SECOND SUPPLEMENTAL RESPONSE TO OFFICE ACTION**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Madam:

In response to the Office Action of November 2, 2006, the telephonic interview conducted on March 5, 2007, and the Interview Summary mailed March 20, 2007, please consider the following amendments and remarks as follows: